ALIGNED
capital partners inc.

Investor Fee Schedule

Client Administration Fees (i)	
Standard Account Fee	$50.00/quarter/entity (i)
Small Account Fee	$12.50/quarter/entity (ii)
Paper Delivery of Account Statements and Trade Confirms	$6.25/quarter/entity (iii)
Manual and Restricted Fund Positions	$100/position/year/issuer
Private Placements / Small Business Securities	$200/position/year/issuer (iv)
Transaction Fees (v)	
Private Placements / Small Business Securities	$200/trade
Manual and Restricted Funds	$100/trade
Swaps	$100/swap
Third Party COD/DAP/RAP	$100/trade
Withdrawal or Deregistration	
Non-Registered Account Cash Withdrawal via EFT	No Fee
Registered Account – Partial Deregistration	No Fee
Registered Account – Full Deregistration	No Fee
Transfer Out – Partial	No Fee
Transfer Out – Full	No Fee
Manual Cheque Fee	$10
Bank Wire (Instead of EFT)	$50
Other Services	
Account Research – per hour	$50
NSF / Returned Item	$50
Stock Certificate Registration or Deposit	$250
Certificate Request	$250
Certificate Replacement	$250
Legal Judgement Related Distribution	$100
Estate Settlement	$250
Interest Rates	
Credit or Debit Balance	Rates posted at: https://www.alignedcapitalpartners.com/credit-debit-rates-and-fee-schedule/

(i) Client Administration fees include unlimited accounts either Registered or Non-Registered per entity. Entity is defined by unique social insurance number. Joint Accounts, Corporate Accounts, ITF accounts, and IPP's are all considered separate entities. All fees subject to applicable provincial taxes.

(ii) Small account is a client with a portfolio account value less than $50,000 when assessed.

(iii) Paper Delivery of Account Statements and Trade Confirms must be set to e-delivery for the entirety of a quarter to avoid the paper statement fee. Tax slips are not subject to paper statement fees.

(iv) Includes shares of Canadian Controlled Private Corporations (CCPC) in Registered Accounts.

(v) Transaction fees for individual trades of stocks, mutual funds and fixed income are negotiable with your Investment Advisor or Portfolio Manager.